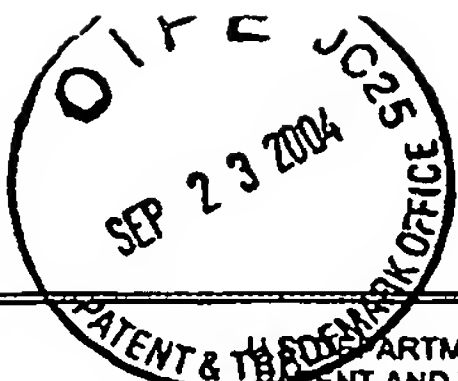


Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 246507US90		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Akihiko FUKAZAWA, et al.			
				FILING DATE Herewith		GROUP 2873	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	9-152634	6/10/97	Japan (with English Abstract)			X
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner <u>JACK DINH</u>					Date Considered <u>9/04/05</u>		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.		SERIAL NO.	
				246507US90		10/734,291	
				APPLICANT			
				Akihiko FUKAZAWA, et al.			
LIST OF REFERENCES CITED BY APPLICANT				FILING DATE		GROUP	
				December 15, 2003		2873	
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
Jo	AA	6,211,995	04/03/2001	A. AZENS, et al.			
Jo	AB	2001/0031403 A1	10/18/2001	J.-C. GIRON			
	AC						
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	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
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	AQ						
	AR						
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
Jo	AW	J. GÖTTSCHE, et al., Solar Energy Materials and Solar Cells, vol. 31, no. 3, pages 415-428, XP-000417752, "ELECTROCHROMIC MIXED WO ₃ -TiO ₂ THIN FILMS PRODUCED BY SPUTTERING AND THE SOL-GEL TECHNIQUE: A COMPARISON", December 1, 1993					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner		Jack Dinh				Date Considered 09/04/06	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

246507US90

SERIAL NO.

10/734,291

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Akihiko FUKAZAWA, et al.

FILING DATE

December 15, 2003

GROUP

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
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	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
<i>JP</i>	AO	0 730 189 A1	09/04/1996	EUROPE		
<i>JP</i>	AP	WO 00/03290	01/20/2000	WIPO (with English Abstract)		X
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

<i>JP</i>	AW	L. KULLMAN, et al., "Electrochromic Devices Incorporating Cr Oxide and Ni Oxide Films: A Comparison", Solar Energy, vol. 68, no. 6, XP-004198076, 2000, pages 517-522				
	AX					
	AY					
	AZ					

☐ Additional References sheet(s) attached

Examiner

JACK DINH

Date Considered

09/04/06

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